### Title IX and Gender Equity Overview

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#### **OVERVIEW**

#### **Today's Topics**

- Title IX Overview
- Gender Equity and Sex Discrimination
- Sexual Harassment
- Sexual Harassment Reporting and Investigation
- Case Study



#### TITLE IX OVERVIEW

# Title IX prohibits discrimination based on sex in any educational program receiving federal funding.



#### Title IX Overview

- Covers all programs at the educational institution
- Covers students, employees, and many 3<sup>rd</sup> parties
- Definition of sex includes pregnancy
- Recent judicial and regulatory opinions interpret "sex" to include sexual orientation and gender identity.
- Enforced by the Office of Civil Rights

#### TITLE IX OVERVIEW

Title IX has a wide-ranging reach.



#### Title IX Impact Areas

Off-Campus conduct that creates a hostile environment on campus

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Incidents at

locations in

which college

exercises substantial control

Title IX Range Internships and Externships

3<sup>rd</sup> parties participating in College programs and activities.

All interactions between students and staff/faculty

TITLE IX OVERVIEW

**Knowledge Check** 

Knowledge Check

## What federal agency enforces Title IX?



## Gender Equity & Discrimination



GENDER EQUITY &

DISCRIMINATION

Prohibition Against Sex Discrimination

Aultman College prohibits Sex Discrimination.

Aultman College policy prohibits sex discrimination which includes discrimination based on pregnancy, gender identity and failure to conform to stereotypical notions of femininity and masculinity.

Furthermore, the College's policy and corresponding Title IX regulations consider sexual harassment a form of sex discrimination.

AULTMAN COLLEGE Source: Aultman College Title IX Policy: Sex Discrimination, Sexual Harassment, and Sexual Assault

### GENDER EQUITY & DISCRIMINATION

Discrimination can take various forms.



#### Types of Prohibited Discrimination

<u>Disparate Treatment - Treating someone different based on their sex</u>

Decision maker was aware of the complainant's sex and acted at least in part based on sex

Does not require bad faith, ill will, or evil motive

Disparate Impact - Applying a facially neutral policy that has a different impact on one sex over the other.

Facially-neutral policy had a disproportionate adverse impact based on sex.

There was no substantial legitimate justification for the policy.

Retaliation - Taking an action against someone because they made a complaint or participated in a Title IX investigation

Complainant engaged in protected activity and the actor knew about it.

The actor subjected the complainant to adverse action because of the protected activity.

### GENDER EQUITY & DISCRIMINATION

Aultman College provides accommodations to promote gender equity.



#### Aultman College Policy on Gender Equity

Specifically addresses the accommodations for non-binary or transgender individuals

- Requires an individual's legal name be used for official transcripts, financial aid documents, and other college systems where a legal name is required by law or college policy
- Requires an individual's preferred name and pronouns be used for internal college purposes, including college Id's and classroom references.
- Permits everyone on campus to use the facilities (restrooms, etc.) of the gender with which they identify
- Requires students to notify faculty of their preferred name/pronoun
- Any employee's failure to comply with the gender equity policy, including intentional failure to use an individual's preferred name/pronouns once on notice of the preference will be investigated as a potential Title IX violation.

### GENDER EQUITY AND DISCRIMINATION

**Knowledge Check** 

Knowledge Check

What are the 3 types of prohibited discrimination?



#### **Sexual Harassment**



Aultman College Policy on Sexual Harassment

Aultman College prohibits all forms of sexual harassment.

Aultman College Policy defines Sexual Harassment as a form of prohibited sex discrimination which includes sexual violence.



Source - Aultman College Title IX Policy: Sex Discrimination, Sexual Harassment, and Sexual Assault

### Title IX prohibits sexual harassment.

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#### What is Sexual Harassment?

#### Further defined by Title IX as

- Unwanted sexual conduct (may include sexual advances and requests for sexual favors).
- Submission to the actual or threatened conduct is a term or condition of employment or education; or
- Submission to or rejection of the actual or threatened conduct is used as a basis for academic or employment decisions
- The conduct is so severe, pervasive, and objectively offensive that it effectively denies the complainant access to the College's program; or
- The conduct constitutes sexual assault, dating violence, domestic violence or stalking.

### Harassment may take various forms.

#### Domestic Violence, Dating Violence, and Stalking

#### Aultman College's Title IX Policy states -

- <u>Domestic Violence</u>: Crimes of violence committed by a current or former intimate partner of a victim or by any other person against a victim who is protected from that person under Ohio's domestic or family violence laws.
- <u>Dating Violence</u>: Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim (where the existence of such a relationship is determined by length, type, and frequency of interactions.
- <u>Stalking:</u> Course of conduct directed at a specific person that would cause a reasonable person to fear for their safety or the safety of others or suffer substantial emotional distress.



Source: Aultman College Title IX Policy: Sex Discrimination, Sexual Harassment, and Sexual Assault

#### Not everything is harassment.

#### What is Not Harassment?

- Negative evaluations or grades based on performance
- Supervisor or instructor directives related to job/course
- Criticism of job performance or classwork.
- Discipline consistent with employee handbooks or the student code of conduct.
- Disagreements with supervisors, co-workers, instructors, or other students.



#### Reporting and Investigations



Formal Complaint Process: Core Requirements (34 CFR 106.45)

**Equitable Treatment** 

**Sanctions and Remedies** 

Requirements for Formal Complaint Process Regarding Sexual Harassment **Objective Evaluation** 

**Training of Key Participants** 

**Presumption of Innocence** 

**Prompt Timeframes** 

Standard of Evidence (Aultman College: Preponderance of the Evidence)

Appeals Process and Standards

**Supportive Measures** 

Legal Privilege



Source: Thompson Coburn Title IX Training Series - PowerPoint Presentation (thompsoncoburn.com)

## Title IX Reporting is Important.



#### Reporting Title IX Complaints Overview

All allegations of a Title IX violation should be reported to the Title IX Coordinator

Aultman College's Title IX Coordinator Sue Shepherd Dean of Student Success 2600 6<sup>th</sup> St. SW Canton, OH 330. 363.4349 Sue. Shepherd@aultmancollege.edu

Under College policy, all faculty and staff (except confidential resources) have an obligations to report alleged violations of Title IX.

Students should be encouraged to report but are not required to report.

There is a

#### responsibility to report situations of misconduct and/or harassment.

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#### When Should You Report?

#### Think Critically About Reporting:

- Is this an academic issue or a sexual harassment and/or discrimination issue?
- Is someone looking for guidance on interacting with someone without any indicators of misconduct or harassment?

But when in doubt - you should report.

## Investigations may be more effective when allegations are reported timely.

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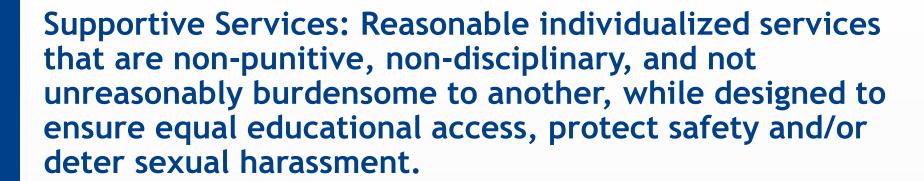
#### The Complaint Process

There is no required timeline for filing a complaint but the sooner a complaint is filed, the easier it is to investigate and respond as the information will be fresh.

- To the extent possible, a complaint should include:
  - Date(s) and time(s) of the alleged conduct
  - Names of all individuals involved (including potential witnesses)
  - Description of what happened; and
  - Contact information of the complainant

Aultman College strives to close out an investigation within 30 days of receiving a complaint. The investigation must be started within 7 days of receiving the complaint.

Supportive
Services can
help provide
educational
access and
protect safety.



#### **Examples of Supportive Services may include:**

- Separating the parties
- Placing limitations on contact between the parties
- Making alternative class-placement or workplace arrangements.



#### Rights of the Parties

Parties involved in an investigation have rights.

- Identify and have the investigator consider witnesses and other evidence.
- Access to all information the investigator considers
- Access to review statements or evidence provided by the other party.
- Access to review and comment on information the investigator develops.



#### **Investigation Process**

Aultman College has policies for the Investigation process.





#### **Live Hearings**

## All formal Title IX investigations must have a live hearing before an impartial Hearing Officer

### Formal Title IX investigations must have a live hearing in which

- All participants must be able to hear and see each other (even if virtually)
- The College must keep a verbatim record
- The College must provide a representative to any unrepresented party.
- Each party must be permitted to cross-examine the other.



#### Live Hearings - Hearing Officer's Written Determination

The Hearing Officer will provide a written determination including:

- Findings of Fact
- Conclusions about whether the conduct occurred
- Rationale for conclusions as to each allegation
- Any disciplinary sanctions that may be imposed
- Any remedies that may be provided to the Complainant

Either party may appeal to the College President within 10 days of receipt of the Hearing Officer's written determination.

The Hearing Officer will provide a written determination.



## Complaint resolutions should include communication to the involved parties.



#### **Complaint Resolution**

#### **Share with Complainant**

- <u>If Misconduct is Confirmed:</u> Advise Complainant and inform that corrective action has been taken
- <u>If Misconduct is NOT Confirmed:</u> Advise Complainant that there was insufficient evidence to corroborate complaint.

#### **Share with Respondent**

- <u>If Misconduct is Confirmed:</u> Advise respondent of determination and applicable corrective action. If corrective action is less than termination -
  - Advise that retaliation will not be tolerated
  - Advise that future misconduct may result in termination/expulsion
- If Misconduct is NOT Confirmed
  - Counsel Respondent about any policies at issues and how to avoid problems
  - Advise that retaliation will not be tolerated.

## Informal Resolution is available so long as both parties agree.

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#### Informal Resolution

#### Informal Resolution, such as mediation, may be used instead of the formal investigation and live hearing process. The following standards apply -

- Informal Resolution may only be used if both parties consent to it in writing. No party will be forced to waive their right to a formal investigation or determination.
- The complainant will not be required to work directly with the respondent to resolve the concern.
- Either party may terminate the informal process.

#### Informal resolution should

- Be documented,
- May not be appealed and
- May never be used for allegations of sexual harassment of a student by an employee

#### Title IX Investigations should be documented



#### **Investigation Best Practices**

#### **Preserve Documentation**

- Keep investigation file together in secure location (Do not keep in personnel files)
- Any documentation of disciplinary action should go to the appropriate personnel or student file.
- Document follow-up actions

#### Appropriate follow-up with Parties should occur

- Respondent Follow-up: Monitor behavior to prevent further misconduct or retaliation.
- Complainant Follow-up: Follow-up to confirm that misconduct is not ongoing nor is there any retaliatory behavior.

#### FUTURE OF TITLE IX

## Changes to Title IX may be coming.

#### **New Title IX Rule**

In July 2022, the Biden Administration proposed changes to the Title IX rule.

- Codifying sex discrimination to include sexual orientation and gender identity.
- Require an investigation for all complaints and not just not formal complaints.
- Provide greater protections for all sex discrimination and not just sexual harassment.



Currently, there are over 200,000 comments for the Department of Education to review.

#### **CASE STUDY**

What are the Core Requirements under Title IX that were violated?



Hopeless University receives federal funding and is subject to the Title IX requirements. Their Title IX Coordinator, Betty, is new to the job and has never been trained on Title IX. She receives her first formal complaint and does not begin investigating it for 15 days even though policy states that investigations should be initiated within 7 days of receipt. Betty knows both the Complainant and the Accused and has actively discussed her dislike of them. During the investigation, several events occur. First, the Complainant asks to be moved to a different class than the Accused due to fear. There is nothing administratively preventing this, but Betty has always thought that the Complainant was dramatic and denies the request. Next, the Complainant asked for and was granted a short extension to submit evidence. But when the Accused makes a similar request, its denied and Betty tells a co-worker in another department, "Why should I give the Accused any leeway when they have been accused of sexual harassment?" The hearing is conducted by another University employee who happens to be the mother of the Accused's childhood best friend. The hearing ultimately finds in favor of the Complainant. When the Accused asked what will be the consequences, Betty replies "Oh I don't know yet. I will figure it out later. We don't have a policy on that." The Accused submits a timely appeal but is denied with Betty stating that "What do you need to appeal for? We spent months on this. If you don't like it, call the Department of Education but all our investigations are protected by attorney-client privilege. Or better yet, work it out with the Complainant - it sounds like a personal problem!"

#### **QUESTIONS?**

